

Form #4  
**ALLOWABLE EX PARTE COMMUNICATION BRIEFING**  
**CERTIFIED STATEMENT**  
**(Attendee)**

THIS CERTIFICATION IS TO:

- BE SIGNED BY **EACH** BRIEFING ATTENDEE **EXCEPT** COMMISSIONERS AND PUBLIC SERVICE COMMISSION EMPLOYEES, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1441 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: Shawn Heath	Date of Meeting: 9-12-08
Occupation: Govt. Affairs	Matter: Duke Energy Carolinas, LLC – Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans
Attending on behalf of/for: Duke Energy	Docket No.:

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

See transcript

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

This concludes my Certified Statement.

A handwritten signature in cursive script, appearing to read "Shaw D. Ham".

\_\_\_\_\_  
Signature of Briefing Attendee

Date: 9-12-08

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Name: <i>Raise Sheehan</i>	Date of Meeting: 9-12-08
Occupation: <i>Corpaab Communications</i>	Matter: Duke Energy Carolinas, LLC -- Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans
Attending on behalf of/for: <i>Duke Energy</i>	Docket No.:

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<i>See Transcript.</i>

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Name: <i>Keith Trent</i>	Date of Meeting: 9-12-08
Occupation:	Matter: Duke Energy Carolinas, LLC – Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans
Attending on behalf of/for: <i>Duke Energy</i>	Docket No.:

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<i>See transcript.</i>

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Name: <i>Catherine Heigel</i>	Date of Meeting: 9-12-08
Occupation: <i>Attorney</i>	Matter: Duke Energy Carolinas, LLC – Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans
Attending on behalf of/for: <i>Duke Energy</i>	Docket No.:

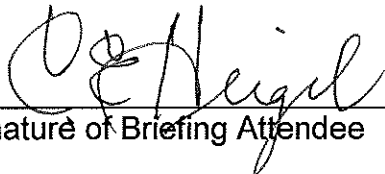
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<i>See transcript.</i>

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

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Name: <i>Tim Rogers</i>	Date of Meeting: 9-12-08
Occupation: <i>Chairman/CEO</i>	Matter: Duke Energy Carolinas, LLC – Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans
Attending on behalf of/for: <i>Duke Energy</i>	Docket No.:

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<i>See transcript.</i>

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Name: Ellen Ruff	Date of Meeting: 9-12-08
Occupation: Resident Duke Energy Carolinas	Matter: Duke Energy Carolinas, LLC – Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans
Attending on behalf of/for: Duke Energy	Docket No.:

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Discussion of points on slides attached.

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

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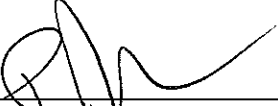
Name: <i>Pamela J McMillan</i>	Date of Meeting: 9-12-08
Occupation: <i>Admin Asst.</i>	Matter: Duke Energy Carolinas, LLC – Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans
Attending on behalf of/for: <i>ORS</i>	Docket No.:

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Name: <i>Shealy Reibold</i>	Date of Meeting: 9-12-08
Occupation: <i>attorney, ORS</i>	Matter: Duke Energy Carolinas, LLC – Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans
Attending on behalf of/for: <i>ORS</i>	Docket No.:

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Shealy Boland Keisold  
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Name: <i>RANDY WATTS</i>	Date of Meeting: 9-12-08
Occupation: <i>MANAGER - ELECTRIC DEPT</i>	Matter: Duke Energy Carolinas, LLC – Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans
Attending on behalf of/for: <i>ORS</i>	Docket No.:

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Name: <i>John Flitter</i>	Date of Meeting: 9-12-08
Occupation: <i>Director-Elec &amp; Gas Reg.</i>	Matter: Duke Energy Carolinas, LLC – Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans
Attending on behalf of/for: <i>ORS</i>	Docket No.:

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Name: <i>Anthony James</i>	Date of Meeting: 9-12-08
Occupation: <i>SR. SPEAKER</i>	Matter: Duke Energy Carolinas, LLC – Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans
Attending on behalf of/for: <i>ORS</i>	Docket No.:

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Name: <i>Frank Ellebe</i>	Date of Meeting: 9-12-08
Occupation: <i>Attorney</i>	Matter: Duke Energy Carolinas, LLC – Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans
Attending on behalf of/for: <i>Duke Energy Carolinas</i>	Docket No.: <i>N/A</i>

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<i>The company has arranged to have a transcript prepared which will be attached.</i>

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

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Signature of Briefing Attendee

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Form #5  
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**(Commissioner/Commission Employee)**

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Name: <i>James E. Spearman</i>	Date of Meeting: 9-12-2008
PSC Position Title: <i>Executive Assistance</i> <i>&amp;</i> <i>Sr. Technical Advisor</i>	Matter: Duke Energy Carolinas, LLC – Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans Docket No.:


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<i>see attachment</i>

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]
4. I will comply with State law requiring me to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

This concludes my Certified Statement.

  
\_\_\_\_\_  
Signature of South Carolina Public Service  
Commissioner or Commission Employee

Date: 9-12-2008

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Name: <i>GARY E WALSH</i>	Date of Meeting: 9-12-08
Occupation: <i>REGULATORY CONSULTANT</i>	Matter: Duke Energy Carolinas, LLC – Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans
Attending on behalf of/for: <i>PROGRESS ENERGY</i>	Docket No.:

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<i>Industry Trends - De Carbonization of Fleet by 2050, Rising Cost Industries</i>
<i>Uncertain Demand,</i>
<i>Climate Change -</i>
<i>Update on Generation Cost -</i>

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

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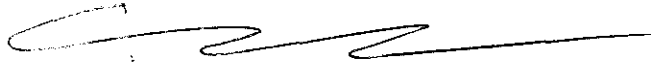
Name: <i>Dukes Scott</i>	Date of Meeting: 9-12-08
Occupation: <i>OKS</i>	Matter: Duke Energy Carolinas, LLC – Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans
Attending on behalf of/for: <i>OKS</i>	Docket No.:

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A handwritten signature in black ink, consisting of a series of loops and a long horizontal stroke, positioned above a solid horizontal line.

Signature of Briefing Attendee

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Name: <i>David Butler</i>	Date of Meeting: 9-12-2008
PSC Position Title: <i>Senior Counsel</i>	Matter: Duke Energy Carolinas, LLC – Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans
	Docket No.:

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]


3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]
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This concludes my Certified Statement.

A handwritten signature in black ink, appearing to read "David Butler", is written over a horizontal line.

Signature of South Carolina Public Service  
Commissioner or Commission Employee

Date: 9-12-2008



Form #5  
**ALLOWABLE EX PARTE COMMUNICATION BRIEFING**  
**CERTIFIED STATEMENT**  
**(Commissioner/Commission Employee)**

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- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF <sup>1401</sup> ~~1444~~ MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS OF THIS BRIEFING.**

Name: <i>Jocelyn Boyd</i>	Date of Meeting: 9-12-2008
PSC Position Title: <i>Deputy Clerk</i>	Matter: Duke Energy Carolinas, LLC – Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans
	Docket No.:

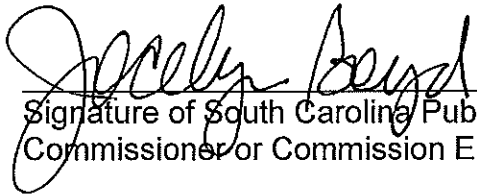
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<i>See attached transcript</i>

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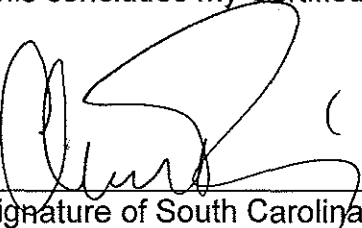
Name: <i>CHARLIE TERRELL</i>	Date of Meeting: 9-12-2008
PSC Position Title: <i>Chief Clerk</i>	Matter: Duke Energy Carolinas, LLC – Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans
	Docket No.:

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Commissioner or Commission Employee

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Name: <i>Jo M. WHEAT</i>	Date of Meeting: 9-12-2008
PSC Position Title: <i>COURT REPORTER</i>	Matter: Duke Energy Carolinas, LLC – Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans
	Docket No.:

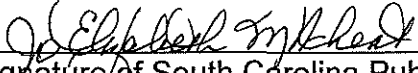
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<i>See transcript</i>

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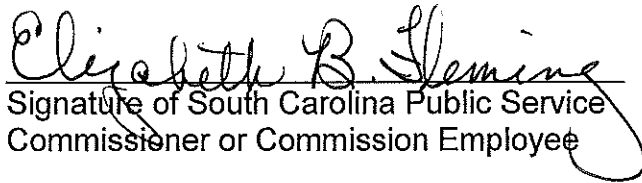
Name: <i>Elizabeth B. Fleming</i>	Date of Meeting: 9-12-2008
PSC Position Title: <i>Chairman</i>	Matter: Duke Energy Carolinas, LLC – Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans
	Docket No.:

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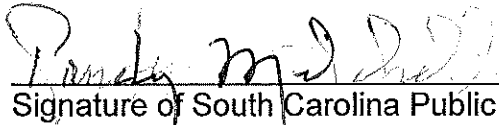
Name: <i>Randy Mitchell</i>	Date of Meeting: 9-12-2008
PSC Position Title: <i>Commissioner</i>	Matter: Duke Energy Carolinas, LLC – Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans
	Docket No.:

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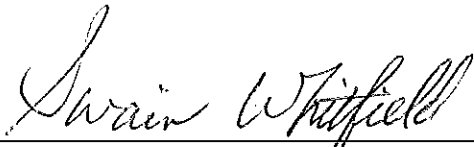
Name: <i>SWAIN E. Whitfield</i>	Date of Meeting: 9-12-2008
PSC Position Title: <i>Commissioner</i>	Matter: Duke Energy Carolinas, LLC – Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans
	Docket No.:

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This concludes my Certified Statement.

A handwritten signature in cursive script, reading "Swain Whitfield". The signature is written in dark ink and is positioned above a horizontal line.

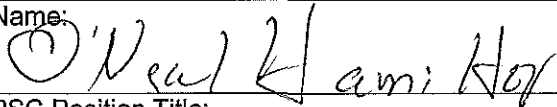
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Name: 	Date of Meeting: 9-12-2008
PSC Position Title:	Matter: Duke Energy Carolinas, LLC – Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans
	Docket No.:

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Name: <i>David Wright</i>	Date of Meeting: 9-12-2008
PSC Position Title: <i>Commissioner</i>	Matter: Duke Energy Carolinas, LLC – Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans
	Docket No.:

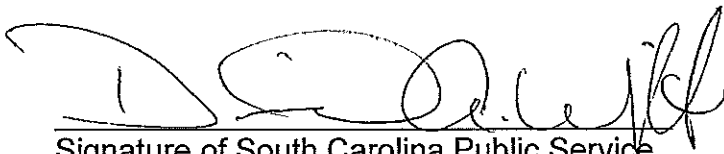
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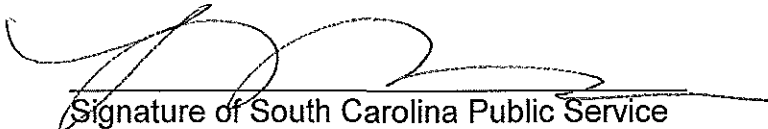
Name: <i>Mignon L. Clyburn</i>	Date of Meeting: 9-12-2008
PSC Position Title: <i>Commissioner</i>	Matter: Duke Energy Carolinas, LLC – Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans
	Docket No.:

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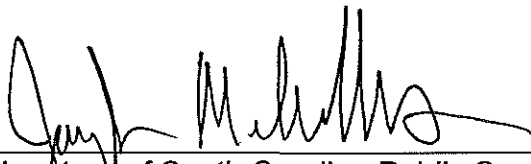
Name: Joseph Melchers	Date of Meeting: 9-12-2008
PSC Position Title:  Chief Counsel	Matter: Duke Energy Carolinas, LLC – Perspective on Key Industry Trends and Climate Change; Update on New Base Load Generation Plans  Docket No.: N/A

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